

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

200669US0DIV

First Inventor or Application Identifier

Yasuo KOBAYASHI

Title

PROCESSING METHOD AND APPARATUS FOR REMOVING OXIDE FILM

Assignee Name: TOKYO ELECTRON LIMITED

Assignee Address: 3-6 Akasaka 5-chome, Minato-ku, Tokyo 107-8481, Japan

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets **63**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **10**
4. ☒ Oath or Declaration Total Pages **4**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification or Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Request for Priority

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/437,500
- Prior application information: Examiner: T. Meeks Group Art Unit: 1762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/437,500 Filed on November 10, 1999, now allowed; which is a Continuation-in-Part
(CIP) of prior application no.: 09/086,574, filed May 29, 1998, abandoned.
- ☒ Which was published in English
☐ Which was not published in English
- This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	<i>Harris A. Pitlick</i>	Date:	Dec 15, 2000
Name:	Harris A. Pitlick	Registration No.:	38,779

Docket No. 200669US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yasuo KOBAYASHI et al

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: PROCESSING METHOD AND APPARATUS FOR REMOVING OXIDE FILM

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	27 - 20 =	7	× \$18 =	\$126.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,106.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,106.00

☐ Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

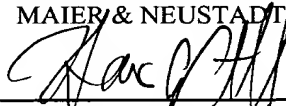
A check in the amount of **\$1,106.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT P.C.



Norman F. Oblon

Registration No. 24,618

Harris A. Pitlick

Registration No. 38,779



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

Date: Dec 15, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yasuo KOBAYASHI et al

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: PROCESSING METHOD AND APPARATUS FOR REMOVING OXIDE FILM

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/437,500, filed November 10, 1999, is claimed pursuant to the provisions of **35 U.S.C. §120**.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of **35 U.S.C. §119(e)**.
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of **35 U.S.C. §119**, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	9-161881	June 4, 1997
JAPAN	10-320478	November 11, 1998

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ Application No. 9-161881 was filed in prior application Serial No. 09/086, 574 filed May 29, 1998 and Application No. 10-320478 was filed in prior application Serial No. 09/437,500 filed November 10, 1999.
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT P.C.

Norman F. Oblon
Registration No. 24,618

Harris A. Pitlick
Registration No. 38,779



22850